

JCO0 Rec'd PCT/PTO 06 OCT 2005

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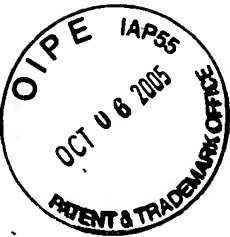
I hereby certify that this correspondence is being deposited with the U.S. Postal Service as Express Mail, Airbill No. EV710214027US, in an envelope addressed to: MS Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

Dated: October 6, 2005

Signature:

*Staci V. Harris*  
(Staci V. Harris)

Docket No.: 04630/032001  
(PATENT)



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of:  
Craig Lucas Goodfellow

Application No.: 10/528,482

Confirmation No.: 8462

Filed: March 21, 2005

Art Unit: N/A

For: EMISSION REDUCTION APPARATUS

Examiner: Not Yet Assigned

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION**

MS Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In response to the Notice to File Missing Parts of Application mailed August 22, 2005, Applicant respectfully submits a Combined Declaration and Power of Attorney, an Application Data Sheet, and Part 2 Copy of Notice.

Please charge our Credit Card in the amount of \$130.00 covering the fee set forth in 37 CFR 1.16(f). Credit Card Payment Form SB-2038, with a signature from an authorized cardholder, is enclosed. The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 50-0591, under Order No. 04630/032001.

10/14/2005 ATRAN1 00000095 10528482

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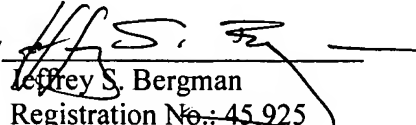
130.00 0P

Application No.: 10/528,482

Docket No.: 04630/032001

Dated: October 6, 2005

Respectfully submitted,

By   
Jeffrey S. Bergman  
Registration No.: 45,925  
OSHA · LIANG LLP  
1221 McKinney St., Suite 2800  
Houston, Texas 77010  
(713) 228-8600  
(713) 228-8778 (Fax)



REC'D PCT/PTO 06 OCT 2005

Application No. (if known): 10/528,482 Attorney Docket No.: 04630/032001

Certificate of Express Mailing Under 37 CFR 1.10

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Alexandria, VA 22313-1450

on October 6, 2005  
Date

*Staci Harris*

Signature

Staci V. Harris

Typed or printed name of person signing Certificate

Registration Number, if applicable

(713) 228-8600  
Telephone Number

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

- Fee Transmittal (1 page)
- Application Data Sheet (2 pages)
- Combined Declaration and Power of Attorney (4 pages)
- Part 2 Copy of Notice (2 pages)
- Response to Notice to File Missing Parts of Application (2 pages)
- Payment by credit card. Form PTO-2038 is attached
- Charge \$130.00 to credit card



Re PCT/PTO 06 OCT 2005

PTO/SB/17 (12-04v2)  
Approved for use through 7/31/2006. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no person are required to respond to a collection of information unless it displays a valid OMB control number.

|   |  |                          |                        |
|---|--|--------------------------|------------------------|
| <b>Effective on 12/08/2004.</b><br><b>Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).</b><br><b>FEE TRANSMITTAL</b><br><b>For FY 2005</b> |  | <b>Complete if Known</b> |                        |
| <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27  |  | Application Number       | 10/528,482-Conf. #8462 |
| <b>TOTAL AMOUNT OF PAYMENT</b> (\$) 130.00  |  | Filing Date              | March 21, 2005         |
|   |  | First Named Inventor     | Craig Lucas Goodfellow |
|   |  | Examiner Name            | Not Yet Assigned       |
|   |  | Art Unit                 | N/A                    |
|   |  | Attorney Docket No.      | 04630/032001           |

**METHOD OF PAYMENT** (check all that apply)

☐ Check ☒ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): \_\_\_\_\_

☒ Deposit Account Deposit Account Number: 50-0591 Deposit Account Name: Osha · Liang LLP

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee

☐ Charge any additional fee(s) or underpayment of fee(s) under 37 CFR 1.16 and 1.17 ☒ Credit any overpayments

**FEE CALCULATION**

**1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

| Application Type | FILING FEES |                       | SEARCH FEES |                       | EXAMINATION FEES |                       | Fees Paid (\$) |
|------------------|-------------|-----------------------|-------------|-----------------------|------------------|-----------------------|----------------|
|                  | Fee (\$)    | Small Entity Fee (\$) | Fee (\$)    | Small Entity Fee (\$) | Fee (\$)         | Small Entity Fee (\$) |                |
| Utility          | 300         | 150                   | 500         | 250                   | 200              | 100                   |                |
| Design           | 200         | 100                   | 100         | 50                    | 130              | 65                    |                |
| Plant            | 200         | 100                   | 300         | 150                   | 160              | 80                    |                |
| Reissue          | 300         | 150                   | 500         | 250                   | 600              | 300                   |                |
| Provisional      | 200         | 100                   | 0           | 0                     | 0                | 0                     |                |

**2. EXCESS CLAIM FEES**

| Fee Description                                    | Fee (\$) | Small Entity Fee (\$) |
|--|----------|-----------------------|
| Each claim over 20 (including Reissues)            | 50       | 25                    |
| Each independent claim over 3 (including Reissues) | 200      | 100                   |
| Multiple dependent claims                          | 360      | 180                   |

**Total Claims**      **Extra Claims**      **Fee (\$)**      **Fee Paid (\$)**      **Multiple Dependent Claims**

\_\_\_\_\_ - = \_\_\_\_\_ x \_\_\_\_\_ = \_\_\_\_\_      **Fee (\$)**      **Fee Paid (\$)**

**Indep. Claims**      **Extra Claims**      **Fee (\$)**      **Fee Paid (\$)**

\_\_\_\_\_ - = \_\_\_\_\_ x \_\_\_\_\_ = \_\_\_\_\_

**3. APPLICATION SIZE FEE**

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

**Total Sheets**      **Extra Sheets**      **Number of each additional 50 or fraction thereof**      **Fee (\$)**      **Fee Paid (\$)**

\_\_\_\_\_ - 100 = \_\_\_\_\_ /50 \_\_\_\_\_ (round up to a whole number) x \_\_\_\_\_ = \_\_\_\_\_

**4. OTHER FEE(S)**

Non-English Specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge): 1051 Surcharge-Late oath or declaration      **Fees Paid (\$)** 130.00

|                     |                    |                                   |                 |
|---------------------|--------------------|-----------------------------------|-----------------|
| <b>SUBMITTED BY</b> |                    |                                   |                 |
| Signature           |                    | Registration No. (Attorney/Agent) | 45,925          |
| Name (Print/Type)   | Jeffrey S. Bergman | Telephone                         | (713) 228-8600  |
|                     |                    | Date                              | October 6, 2005 |

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Dated: October 6, 2005      Signature: Staci Harris (Staci V. Harris)



10-07-05

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AUG 26 2005  
PCT/PTO  
OSHA • LIANG LLP

## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

|                             |                        |                  |
|-----------------------------|------------------------|------------------|
| U.S. APPLICATION NUMBER NO. | FIRST NAMED APPLICANT  | ATTY. DOCKET NO. |
| 10/528,482                  | Craig Lucas Goodfellow | 04630/032001     |

|                               |
|-------------------------------|
| INTERNATIONAL APPLICATION NO. |
| PCT/GB03/04008                |

|                 |               |
|-----------------|---------------|
| LA. FILING DATE | PRIORITY DATE |
| 09/18/2003      | 09/20/2002    |

22511  
OSHA LIANG L.L.P.  
1221 MCKINNEY STREET  
SUITE 2800  
HOUSTON, TX 77010

|                      |                     |
|----------------------|---------------------|
| Action:              | Missing Parts (2ma) |
| Base Date:           | 8/22/05             |
| Due Date:            | 10/2/05             |
| Deadline:            | 3/2/06              |
| Initials:            | NO                  |
| Atty./Sec. Initials: |                     |

CONFIRMATION NO. 8462  
371 FORMALITIES LETTER  
\*OC000000016833174\*  
\*OC000000016833174\*

Date Mailed: 08/22/2005

## NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 03/21/2005
- Copy of the International Search Report filed on 03/21/2005
- Preliminary Amendments filed on 03/21/2005
- Information Disclosure Statements filed on 03/21/2005
- Oath or Declaration filed on 03/21/2005
- Request for Immediate Examination filed on 03/21/2005
- Copy of references cited in ISR filed on 03/21/2005
- U.S. Basic National Fees filed on 03/21/2005
- Priority Documents filed on 03/21/2005
- Specification filed on 03/21/2005
- Claims filed on 03/21/2005
- Abstracts filed on 03/21/2005
- Drawings filed on 03/21/2005

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
  - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath

or declaration) as set forth in 37 CFR 1.16(f) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

**SUMMARY OF FEES DUE:**

Total additional fees required for this application is \$130 for a Large Entity:

- \$130 Surcharge.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

BARBARA A CAMPBELL

Telephone: (703) 308-9140 EXT 217

**PART 1 - ATTORNEY/APPLICANT COPY**

| U.S. APPLICATION NUMBER NO. | INTERNATIONAL APPLICATION NO. | ATTY. DOCKET NO. |
|-----------------------------|-------------------------------|------------------|
| 10/528,482                  | PCT/GB03/04008                | 04630/032001     |